

COVER SHEET

A S 0 9 1 1 9 0 3 8 5

SEC Registration Number

F I L I P I N O F U N D , I N C .

(Company's Full Name)

U N I T S 1 9 1 1 1 9 1 2 , 1 9 T H F L O O R
 P S E T O W E R , 5 T H A V E N U E C O R N E R 2 8 T H
 S T R E E T , B O N I F A C I O G L O B A L C I T Y ,
 T A G U I G C I T Y , M E T R O M A N I L A , P H I L S .

(Business Address: No. Street City/Town/Province)

JONNA C. LLAGUNO

(Contact Person)

(632) 8898 7522/ 8898 7578

(Company Telephone Number)

1 2

Month Day
(Fiscal Year)

3 1

SEC FORM 17-C

(Form Type)

Month Day
(Annual Meeting)

(Secondary License Type, If Applicable)

CFD

Dept. Requiring this Doc.

Amended Articles Number/Section

Total No. of Stockholders

Domestic

Foreign

To be accomplished by SEC Personnel concerned

File Number

LCU

Document ID

Cashier

S T A M P S

Remarks: Please use BLACK ink for scanning purposes.

SECURITIES AND EXCHANGE COMMISSION

SEC FORM 17-C

CURRENT REPORT UNDER SECTION 17
OF THE SECURITIES REGULATION CODE
AND SRC RULE 17.2(c) THEREUNDER

1. 21 September 2021
Date of Report (Date of earliest event reported)
2. SEC Identification Number ASO91-190385 3. BIR Tax Identification No. 002-284-545-000
4. Filipino Fund, Inc.
Exact name of issuer as specified in its charter
5. Metro Manila
Province, country or other jurisdiction of incorporation
6. (SEC Use Only)
Industry Classification Code:
7. Units 1911-1912, 19th Floor, PSE Tower, 5th Avenue corner 28th Street, Bonifacio Global City, Taguig City
Address of principal office Postal Code 1634
8. (632) 8898 7522
Issuer's telephone number, including area code
9. N/A
Former name or former address, if changed since last report
10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

| | |
|---------------------|--|
| Title of Each Class | Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding |
| COMMON Stock A | 50,167,397 |
11. Indicate the item numbers reported herein: Item no. 9

Mr. Edward S. Go, distinguished director of the Company, passed away on 16 September 2021. Mr. Edward S. Go has been a director of the Company since February 11, 2004. Mr. Edward S. Go served as director of the Company with dedication and diligence throughout the years.

IMPACT OF THE REPORTED FACTS ON THE REGISTRANT'S CURRENT OR FUTURE OPERATIONS, ITS FINANCIAL POSITION OR RESULTS OF OPERATIONS

The foregoing matters are made for purposes of compliance with relevant rules and regulations of the Securities and Exchange Commission and the Philippine Stock Exchange.


SIGNATURES

Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

21 September 2021

FILIPINO FUND, INC.
Registrant

By:



JONNA C. LAGUNO
Compliance Officer

CERTIFICATION

I, Jonna C. Llaguno, the Compliance Officer of Filipino Fund, Inc., a corporation duly registered under and by virtue of the laws of the Republic of the Philippines, with SEC registration number AS091190385 and with principal office at Units 1911-1912, 19F, PSE Tower, 5th Avenue corner 28th Street, Bonifacio Global City, Taguig, on oath state:

- 1) That I have caused this SEC FORM 17-C to be prepared on behalf of Filipino Fund, Inc.;
- 2) That I have read and understood its contents which are true and correct based on my own personal knowledge and/or on authentic records;
- 3) That the company, Filipino Fund, Inc., will comply with the requirements set forth in SEC Notice dated May 12, 2021 to effect a complete and official submission of reports and/or documents through electronic mail;
- 4) That I am fully aware that submitted documents which require pre-evaluation and/or payment of processing fee shall be considered complete and officially received only upon payment of a filing fee; and
- 5) That the e-mail account designated by the company pursuant to SEC Memorandum Circular No. 28, s. 2020 shall be used by the company in its online submissions to CGFD.

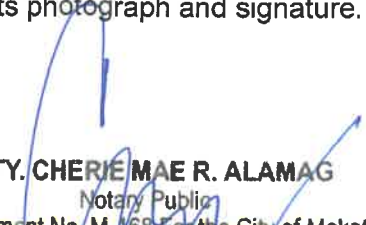
IN WITNESS WHEREOF, I have hereunto set my hand this 21st day of September, 2021.



Jonna C. Llaguno
Affiant

SUBSCRIBED AND SWORN to before me, a Notary Public for and in the City of Makati City, Philippines, this certification, by affiant who is personally known to me and whose identity I have confirmed through Jonna C. Llaguno Passport No. P1383123B issued in DFA-Manila on April 05, 2019, bearing the affiants photograph and signature.

Doc. No. 194
Page No. 39
Book No. XIV
Series of 2021


ATTY. CHERIE MAE R. ALAMAG
Notary Public
Appointment No. M-168 For the City of Makati
Valid until Dec. 31, 2021
Roll No. 68613
PTR No. 8535373; 1/06/2021; Makati City
IBP Lifetime Member No. 016358; Batangas
MCLE Compliance No. VI-0023503; 3/27/2019
(Valid until April 14, 2022)
3810 Cuenca Street, Palanan, Makati City

SECURITIES AND EXCHANGE COMMISSION
SEC FORM 17-C

**CURRENT REPORT UNDER SECTION 17
OF THE SECURITIES REGULATION CODE
AND SRC RULE 17.2(c) THEREUNDER**

1. Date of Report (Date of earliest event reported)

Sep 21, 2021

2. SEC Identification Number

AS091190385

3. BIR Tax Identification No.

002284545000

4. Exact name of issuer as specified in its charter

Filipino Fund, Inc.

5. Province, country or other jurisdiction of incorporation

Metro Manila, Philippines

6. Industry Classification Code(SEC Use Only)

7. Address of principal office

Units 1911-1912,19F, PSE Tower, 5th Avenue corner 28th Street, Bonifacio Global City,
Taguig City

Postal Code

1634

8. Issuer's telephone number, including area code

(02) 8898 7522

9. Former name or former address, if changed since last report

N/A

10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

| Title of Each Class | Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding |
|---------------------|---|
| Common Stock | 50,167,397 |

11. Indicate the item numbers reported herein

Item No. 9

The Exchange does not warrant and holds no responsibility for the veracity of the facts and representations contained in all corporate disclosures, including financial reports. All data contained herein are prepared and submitted by the disclosing party to the Exchange, and are disseminated solely for purposes of information. Any questions on the data contained herein should be addressed directly to the Corporate Information Officer of the disclosing party.



Filipino Fund, Inc.

FFI

**PSE Disclosure Form 4-8 - Change in Directors and/or Officers
(Resignation/Removal or Appointment/Election)
References: SRC Rule 17 (SEC Form 17-C) and
Section 4.4 of the Revised Disclosure Rules**

Subject of the Disclosure

Death of a director of Filipino Fund, Inc ("the Company")

Background/Description of the Disclosure

Mr. Edward S. Go, distinguished director of the Company, passed away on 16 September 2021. Mr. Edward S. Go has been a director of the Company since February 11, 2004. Mr. Edward S. Go served as director of the Company with dedication and diligence throughout the years.

Resignation/Removal or Replacement

| Name of Person | Position/Designation | Effective Date of Resignation/Cessation of term (mmm/dd/yyyy) | Reason(s) for Resignation/Cessation |
|----------------|----------------------|--|-------------------------------------|
| Edward S. Go | Independent Director | 09/16/2021 | Death |

Election or Appointment

| Name of Person | Position/Designation | Date of Appointment/Election (mmm/dd/yyyy) | Effective Date of Appointment Election (mmm/dd/yyyy) | Shareholdings in the Listed Company | | Nature of Indirect Ownership |
|----------------|----------------------|---|---|-------------------------------------|----------|------------------------------|
| | | | | Direct | Indirect | |
| - | - | - | - | - | - | - |

Promotion or Change in Designation

| Name of Person | Position/Designation | | Date of Approval (mmm/dd/yyyy) | Effective Date of Change (mmm/dd/yyyy) | Shareholdings in the Listed Company | | Nature of Indirect Ownership |
|----------------|----------------------|----|-----------------------------------|---|-------------------------------------|----------|------------------------------|
| | From | To | | | Direct | Indirect | |
| - | - | - | - | - | - | - | - |

Other Relevant Information

None

Filed on behalf by:

| | |
|-------------|--------------------|
| Name | Jonna Llaguno |
| Designation | Compliance Officer |