


GENERAL INFORMATION SHEET (GIS)

FOR THE YEAR **2021**

STOCK CORPORATION



 Securities and Exchange Commission

 Electronic Records Management Division

 ICTD JUN 08 2021

GENERAL INSTRUCTIONS:

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. DO NOT LEAVE ANY ITEM BLANK. WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE **CORPORATE SECRETARY** OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE
6. **ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

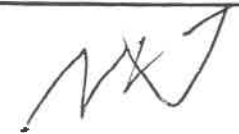
===== PLEASE PRINT LEGIBLY =====

| | | | | | | | |
|--|--|--|--|--|--|--|--|
| CORPORATE NAME: | | FILIPINO FUND, INC. | | DATE REGISTERED: | | 3/28/1995 | |
| BUSINESS/TRADE NAME: | | FILIPINO FUND, INC. | | FISCAL YEAR END: | | December 31 | |
| SEC REGISTRATION NUMBER: | | AS091190385 | | CORPORATE TAX IDENTIFICATION NUMBER (TIN) | | 002-284-545 | |
| DATE OF ANNUAL MEETING PER BY-LAWS: | | Every 2nd Tuesday of May | | WEBSITE/URL ADDRESS: | | www.filipinofund.com.ph | |
| ACTUAL DATE OF ANNUAL MEETING: | | 11-May-21 | | E-MAIL ADDRESS: | | corporatesecretary@filipinofund.com.ph | |
| COMPLETE PRINCIPAL OFFICE ADDRESS: | | Units 1911-1912, 19F, PSE Tower, 5th Avenue corner 28th Street, Bonifacio Global City, Taguig City | | FAX NUMBER: | | (02) 8898 7597 | |
| COMPLETE BUSINESS ADDRESS: | | Units 1911-1912, 19F, PSE Tower, 5th Avenue corner 28th Street, Bonifacio Global City, Taguig City | | OFFICIAL E-MAIL ADDRESS | | ALTERNATE MOBILE NUMBER | |
| OFFICIAL E-MAIL ADDRESS | | ALTERNATE E-MAIL ADDRESS | | OFFICIAL MOBILE NUMBER | | ALTERNATE MOBILE NUMBER | |
| vicsal.sec@metroretail.ph | | jcllaguno@abcapital.com.ph | | 0999-2260250 | | 0920 909 0690 | |
| NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: | | SYCIP GORRES VELAYO & CO./ Ray Francis C. Balagtas | | SEC ACCREDITATION NUMBER (if applicable): | | TELEPHONE NUMBER(S): | |
| | | | | 1510-AR-1 | | (02) 8898 7522 | |
| PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN: | | Close-ended Investment Company | | INDUSTRY CLASSIFICATION: | | GEOGRAPHICAL CODE: | |
| | | | | Listed Company | | 02 | |

===== INTERCOMPANY AFFILIATIONS =====

| PARENT COMPANY | SEC REGISTRATION NO. | ADDRESS |
|--------------------------------|----------------------|---|
| Vicsal Development Corporation | 99589 | Vicsal Bldg., cor. of C.D. Seno & W.O. Seno Sts., Guizo, North Reclamation Area, Mandaue City, Cebu |
| SUBSIDIARY/AFFILIATE | SEC REGISTRATION NO. | ADDRESS |
| N/A | N/A | N/A |
| | | |
| | | |
| | | |

NOTE: USE ADDITIONAL SHEET IF NECESSARY



GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

Corporate Name:

FILIPINO FUND, INC.

A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365) **Yes** **No**

Please check the appropriate box:

| | |
|---|--|
| <p>1.</p> <ul style="list-style-type: none"> <input type="checkbox"/> a. Banks <input type="checkbox"/> b. Offshore Banking Units <input type="checkbox"/> c. Quasi-Banks <input type="checkbox"/> d. Trust Entities <input type="checkbox"/> e. Non-Stock Savings and Loan Associations <input type="checkbox"/> f. Pawnshops <input type="checkbox"/> g. Foreign Exchange Dealers <input type="checkbox"/> h. Money Changers <input type="checkbox"/> i. Remittance Agents <input type="checkbox"/> j. Electronic Money Issuers <input type="checkbox"/> k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates. | <p><input type="checkbox"/> Jewelry dealers in precious metals, who, as a business, trade in precious metals</p> |
| <p>2.</p> <ul style="list-style-type: none"> <input type="checkbox"/> a. Insurance Companies <input type="checkbox"/> b. Insurance Agents <input type="checkbox"/> c. Insurance Brokers <input type="checkbox"/> d. Professional Reinsurers <input type="checkbox"/> e. Reinsurance Brokers <input type="checkbox"/> f. Holding Companies <input type="checkbox"/> g. Holding Company Systems <input type="checkbox"/> h. Pre-need Companies <input type="checkbox"/> i. Mutual Benefit Association <input type="checkbox"/> j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC) | <p><input type="checkbox"/> Jewelry dealers in precious stones, who, as a business, trade in precious stone</p> |
| <p>3.</p> <ul style="list-style-type: none"> <input type="checkbox"/> a. Securities Dealers <input type="checkbox"/> b. Securities Brokers <input type="checkbox"/> c. Securities Salesman <input type="checkbox"/> d. Investment Houses <input type="checkbox"/> e. Investment Agents and Consultants <input type="checkbox"/> f. Trading Advisors <input type="checkbox"/> g. Other entities managing Securities or rendering similar services <input type="checkbox"/> h. Mutual Funds or Open-end Investment Companies <input type="checkbox"/> i. Close-end Investment Companies <input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities <input type="checkbox"/> k. Transfer Companies and other similar entities <input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on <input type="checkbox"/> m. Entities administering of otherwise dealing in valuable objects <input type="checkbox"/> n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC) | <p>6. Company service providers which, as a business, provide any of the following services to third parties:</p> <ul style="list-style-type: none"> <input type="checkbox"/> a. acting as a formation agent of juridical persons- <input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons <input type="checkbox"/> c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement <input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person |
| <p>4.</p> <ul style="list-style-type: none"> <input type="checkbox"/> a. Securities Dealers <input type="checkbox"/> b. Securities Brokers <input type="checkbox"/> c. Securities Salesman <input type="checkbox"/> d. Investment Houses <input type="checkbox"/> e. Investment Agents and Consultants <input type="checkbox"/> f. Trading Advisors <input type="checkbox"/> g. Other entities managing Securities or rendering similar services <input type="checkbox"/> h. Mutual Funds or Open-end Investment Companies <input type="checkbox"/> i. Close-end Investment Companies <input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities <input type="checkbox"/> k. Transfer Companies and other similar entities <input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on <input type="checkbox"/> m. Entities administering of otherwise dealing in valuable objects <input type="checkbox"/> n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC) | <p>7. Persons who provide any of the following services:</p> <ul style="list-style-type: none"> <input type="checkbox"/> a. managing of client money, securities or other assets <input type="checkbox"/> b. management of bank, savings or securities accounts <input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies <input type="checkbox"/> d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities |
| <p>5.</p> <ul style="list-style-type: none"> <input type="checkbox"/> None of the above | <p>8. Describe nature of business:</p> |

B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS? **Yes** **No**

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: **FILIPINO FUND, INC.**

CAPITAL STRUCTURE

AUTHORIZED CAPITAL STOCK

| | TYPE OF SHARES * | NUMBER OF SHARES | PAR/STATED VALUE | AMOUNT (PhP) {No. of shares X Par/Stated Value} |
|--------------|------------------|--------------------|------------------|--|
| | COMMON | 184,234,038 | 1.00 | 184,234,038.00 |
| TOTAL | | 184,234,038 | | |

SUBSCRIBED CAPITAL **TOTAL P** **184,234,038.00**

| FILIPINO | NO. OF STOCK-HOLDERS | TYPE OF SHARES * | NUMBER OF SHARES | NUMBER OF SHARES IN THE HANDS OF THE PUBLIC ** | PAR/STATED VALUE | AMOUNT (PhP) | % OF OWNERSHIP |
|--------------|----------------------|------------------|-------------------|--|------------------|----------------------|-----------------|
| | 5,330 | COMMON | 49,874,769 | | 1.00 | 49,874,769.00 | 99.4167% |
| TOTAL | | | 49,874,769 | TOTAL | TOTAL P | 49,874,769.00 | 99.4167% |

| FOREIGN (INDICATE BY NATIONALITY) | NO. OF STOCK-HOLDERS | TYPE OF SHARES * | NUMBER OF SHARES | NUMBER OF SHARES IN THE HANDS OF THE PUBLIC ** | PAR/STATED VALUE | AMOUNT (PhP) | % OF OWNERSHIP |
|-----------------------------------|----------------------|------------------|------------------|--|------------------|-------------------|----------------|
| Chinese | 38 | COMMON | 52,090 | | 1.00 | 52,090.00 | 0.1038% |
| American | 14 | COMMON | 13,461 | | 1.00 | 13,461.00 | 0.0268% |
| British | 2 | COMMON | 1,577 | | 1.00 | 1,577.00 | 0.0031% |
| Japanese | 1 | COMMON | 946 | | 1.00 | 946.00 | 0.0019% |
| Spanish | 1 | COMMON | 757 | | 1.00 | 757.00 | 0.0015% |
| Canadian | 2 | COMMON | 662 | | 1.00 | 662.00 | 0.0013% |
| Indian | 1 | COMMON | 631 | | 1.00 | 631.00 | 0.0013% |
| Australian | 1 | COMMON | 420 | | 1.00 | 420.00 | 0.0008% |
| New Zealand | 1 | COMMON | 210 | | 1.00 | 210.00 | 0.0004% |
| Others | 3 | COMMON | 221,874 | | 1.00 | 221,874.00 | 0.4423% |
| TOTAL | | | 292,628 | TOTAL | TOTAL P | 292,628.00 | 0.5833% |

Percentage of Foreign Equity : **TOTAL** **292,628** **TOTAL P** **292,628.00** **0.5833%**
****TOTAL SUBSCRIBED P** **50,167,397.00** **100.00%**

PAID-UP CAPITAL

| FILIPINO | NO. OF STOCK-HOLDERS | TYPE OF SHARES * | NUMBER OF SHARES | PAR/STATED VALUE | AMOUNT (PhP) | % OF OWNERSHIP |
|--------------|----------------------|------------------|-------------------|------------------|----------------------|-----------------|
| | 5,330 | COMMON | 49,874,769 | 1.00 | 49,874,769.00 | 99.4167% |
| TOTAL | | | 49,874,769 | TOTAL P | 49,874,769.00 | 99.4167% |

| FOREIGN (INDICATE BY NATIONALITY) | NO. OF STOCK-HOLDERS | TYPE OF SHARES * | NUMBER OF SHARES | PAR/STATED VALUE | AMOUNT (PhP) | % OF OWNERSHIP |
|-----------------------------------|----------------------|------------------|------------------|------------------|-------------------|----------------|
| Chinese | 38 | COMMON | 52,090 | 1.00 | 52,090.00 | 0.1038% |
| American | 14 | COMMON | 13,461 | 1.00 | 13,461.00 | 0.0268% |
| British | 2 | COMMON | 1,577 | 1.00 | 1,577.00 | 0.0031% |
| Japanese | 1 | COMMON | 946 | 1.00 | 946.00 | 0.0019% |
| Spanish | 1 | COMMON | 757 | 1.00 | 757.00 | 0.0015% |
| Canadian | 2 | COMMON | 662 | 1.00 | 662.00 | 0.0013% |
| Indian | 1 | COMMON | 631 | 1.00 | 631.00 | 0.0013% |
| Australian | 1 | COMMON | 420 | 1.00 | 420.00 | 0.0008% |
| New Zealand | 1 | COMMON | 210 | 1.00 | 210.00 | 0.0004% |
| Others | 3 | COMMON | 221,874 | 1.00 | 221,874.00 | 0.4423% |
| TOTAL | | | 292,628 | TOTAL P | 292,628.00 | 0.5833% |

*****SUBSCRIBED CAPITAL STOCK IS INCLUSIVE OF 55,105,000 TREASURY SHARES** **TOTAL PAID-UP P** **50,167,397.00** **100.00%**

NOTE: USE ADDITIONAL SHEET IF NECESSARY

* Common, Preferred or other classification
 ** Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME:

FILIPINO FUND, INC.

DIRECTORS / OFFICERS

| NAME/CURRENT RESIDENTIAL ADDRESS | NATIONALITY | INC'R | BOARD | GENDER | STOCK HOLDER | OFFICER | EXEC. COMM. | TAX IDENTIFICATION NUMBER |
|--|-------------|-------|-------|--------|--------------|-------------------------------------|------------------------|---------------------------|
| 1. MARGARET G. ANG LIBERTAD ST., SUDLON, MAGUIKAY, MANDAUE CITY | FILIPINO | N | M | F | Y | President | N/Member | 150-044-477 |
| 2. FRANK S. GAISANO NO. 90 WOOLBRIGHT DRIVE, BEVERLY HILLS SUBDIVISION, LAHUG, CEBU CITY | FILIPINO | N | M | M | Y | N/A | N/Chairman | 150-044-507 |
| 3. JOHN G. TAN c/o 7F Allied Bank Center, 6754 Ayala Avenue corner Legaspi St., Makati City, Metro Manila | FILIPINO | N | M | M | Y | Independent Director | N.A. | 170-419-358 |
| 4. EDWARD S. GO Unit 16-A Pacific Plaza, Bonifacio Global City, Taguig City, Metro Manila | FILIPINO | N | M | M | Y | Independent Director | A/Chairman N/Member | 112-147-604 |
| 5. BERNARDO M. VILLEGAS c/o University of Asia and Pacific, Pearl Drive, Ortigas Center, Pasig City, Metro Manila | FILIPINO | N | C | M | Y | Independent Director | A/Member | 111-622-998 |
| 6. ALJIM C. JAMANDRE Nichol's Park Subdivision, Nichols Heights, Guadalupe, Cebu City | FILIPINO | N | M | M | Y | N/A | A/Member | 102-088-902 |
| 7. ATTY. VINCENT E. TOMANENG GARDEN RIDGE VILLAGE, CABANCALAN, MANDAUE CITY | FILIPINO | N | M | M | Y | Corporate Secretary | N.A. | 102-099-035 |
| 8. ATTY. RODULFO B. IMPERIAL 11th Avenue corner 39th Street, Bonifacio Triangle, Bonifacio Global City, Taguig City, Metro Manila | FILIPINO | N | N | M | N | Assistant Corporate Secretary | N.A. | 221-552-921 |
| 9. BRIAN M. DEVILA Block 16, Lot 3 Phase 1, Paliparan 3, Dasmariñas, Cavite | FILIPINO | N | N | M | N | Treasurer | N.A. | 402-892-705 |
| | | | | | | | | |
| | | | | | | | | |

INSTRUCTION:
 FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.
 FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.
 FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.
 FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.
 FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER,
 SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.
 FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION
 AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.



GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

| | |
|--|--|
| CORPORATE NAME: FILIPINO FUND, INC. | |
| TOTAL NUMBER OF STOCKHOLDERS: 5,394 | NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: 5,371 |
| TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: Php203,103,765 | |

STOCKHOLDER'S INFORMATION

| NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS | SHARES SUBSCRIBED | | | | AMOUNT PAID (PhP) | TAX IDENTIFICATION NUMBER |
|--|-------------------|-------------------|--|-----------------|----------------------|---------------------------|
| | TYPE | NUMBER | AMOUNT (PhP) | % OF OWNER-SHIP | | |
| 1. PCD NOMINEE CORPORATION FILIPINO 29TH FLOOR, BDO EQUITABLE TOWER, 8751 PASEO DE ROXAS, MAKATI, 1226 (Note: Beneficial owner of more than 10%; Vicsal Development Corporation - 35,623,404 shares representing 71.01%) | | 44,067,505 | 44,067,505.00 | 87.84% | 44,067,505.00 | 004-710-690 |
| | | | | | | |
| | TOTAL | 44,067,505 | 44,067,505.00 | | | |
| 2. PCD NOMINEE CORPORATION NON-FILIPINO 29TH FLOOR, BDO EQUITABLE TOWER, 8751 PASEO DE ROXAS, MAKATI, 1226 | | 218,467 | 218,467.00 | 0.44% | 218,467.00 | 004-710-690 |
| | | | | | | |
| | TOTAL | 218,467 | 218,467.00 | | | |
| 3. ALLEN C. ROXAS FILIPINO 4F STATE CENTER BUILDING, 333 J. LUNA ST., BINONDO, MANILA | COMMON | 94,667 | 94,667.00 | 0.19% | 94,667.00 | 132-140-260 |
| | | | | | | |
| | TOTAL | 94,667 | 94,667.00 | | | |
| 4. ALBERT G. ONG FILIPINO 33 DUHAT STREET, VALLA VERDE I, PASIG CITY | COMMON | 90,250 | 90,250.00 | 0.18% | 90,250.00 | 141-670-038 |
| | | | | | | |
| | TOTAL | 90,250 | 90,250.00 | | | |
| 5. REYMONT INC. FILIPINO 10F, PACIFIC STAR BLDG., GIL PUYAT, MAKATI CITY | COMMON | 34,711 | 34,711.00 | 0.07% | 34,711.00 | 000-224-178 |
| | | | | | | |
| | TOTAL | 34,711 | 34,711.00 | | | |
| 6. SEGUNDO SEANGIO AND/OR VIRGINIA SEANGIO FILIPINO 465A FLORA ST., ERMITA, MANILA | COMMON | 32,818 | 32,818.00 | 0.07% | 32,818.00 | SS20-G304-A-5 |
| | | | | | | |
| | TOTAL | 32,818 | 32,818.00 | | | |
| 7. VICTOR HERNANDEZ BOCALING FILIPINO 30 HERCULES, BEL AIR II, MAKATI CITY | COMMON | 31,555 | 31,555.00 | 0.06% | 31,555.00 | SS20-G304-A-5 |
| | | | | | | |
| | TOTAL | 31,555 | 31,555.00 | | | |
| TOTAL AMOUNT OF SUBSCRIBED CAPITAL | | | 44,569,973.00 | 88.84% | 44,569,973.00 | |
| | | | TOTAL AMOUNT OF PAID-UP CAPITAL | | | |

**Percentage of ownership is based on the No. of Outstanding Shares (less 55,105,000 Treasury Shares)

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

| | | | |
|---|----------------------------|--|--------------|
| CORPORATE NAME: | FILIPINO FUND, INC. | | |
| TOTAL NUMBER OF STOCKHOLDERS: | 5,394 | NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: | 5,371 |
| TOTAL ASSETS BASED ON LATEST AUDITED FS: | 203,103,765 | | |

STOCKHOLDER'S INFORMATION

| NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS | SHARES SUBSCRIBED | | | | AMOUNT PAID (PhP) | TAX IDENTIFICATION NUMBER |
|---|-------------------|---------------|-------------------|----------------|----------------------|---------------------------|
| | TYPE | NUMBER | AMOUNT (PhP) | % OF OWNERSHIP | | |
| 8. KA SIONG TIU FILIPINO 684 T. ALONZO ST., STA CRUZ, MANILA | COMMON | 31,555 | 31,555.00 | 0.06% | 31,555.00 | 101-303-358 |
| | | | | | | |
| | TOTAL | 31,555 | 31,555 | | | |
| 9. EMMANUEL HERNANDEZ BOCALING FILIPINO B-243 THE ALEXANDRA CONDO, 29 MERALCO AVENUE, PASIG CITY | COMMON | 25,244 | 25,244.00 | 0.05% | 25,244.00 | 128-873-332 |
| | | | | | | |
| | TOTAL | 25,244 | 25,244 | | | |
| 10. ROMEO TAN PE FILIPINO 10 RUBY ST., CITY HEIGHTS SUBDIVISION, BACOLOD CITY | COMMON | 25,244 | 25,244.00 | 0.05% | 25,244.00 | |
| | | | | | | |
| | TOTAL | 25,244 | 25,244.00 | | | |
| 11. INTERNATIONAL POLYMER INC. NON-FILIPINO 22ND FLOOR, CITIBANK TOWERS, 8741 PASEO DE ROXAS, MAKATI CITY | COMMON | 22,089 | 22,089.00 | 0.04% | 22,089.00 | 000-232-426 |
| | | | | | | |
| | TOTAL | 22,089 | 22,089.00 | | | |
| 12. ANTONIO SUNTAY TANJANGCO FILIPINO 542 ARGUIZA ST., ERMITA 1000, MANILA | COMMON | 20,826 | 20,826.00 | 0.04% | 20,826.00 | 110-558-524 |
| | | | | | | |
| | TOTAL | 20,826 | 20,826.00 | | | |
| 13. WELLINGTON W. CHUA FILIPINO RM 307, WELLINGTON BUILDING, ORIENTE ST., BINOND, MANILA | COMMON | 20,524 | 20,524.00 | 0.04% | 20,524.00 | 104-327-176 |
| | | | | | | |
| | TOTAL | 20,524 | 20,524.00 | | | |
| 14. NORBERTO VALDIVIA SAUCO FILIPINO 2654 SAYGAN ST., MALATE, MANILA | COMMON | 20,195 | 20,195.00 | 0.04% | 20,195.00 | 103-091-875 |
| | | | | | | |
| | TOTAL | 20,195 | 20,195.00 | | | |
| TOTAL AMOUNT OF SUBSCRIBED CAPITAL | | | 165,677.00 | 0.33% | 165,677.00 | |
| TOTAL AMOUNT OF PAID-UP CAPITAL | | | | | 44,735,650.00 | |

** Percentage of ownership is based on the No. of Outstanding Shares (less 55,105,000 Treasury Shares) in the amount of 50,167,397

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

| | |
|---|---|
| CORPORATE NAME: FILIPINO FUND, INC. | |
| TOTAL NUMBER OF STOCKHOLDERS: 5,394 | NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: 5,371 |
| TOTAL ASSETS BASED ON LATEST AUDITED FS: Php203,103,765 | |

STOCKHOLDER'S INFORMATION

| NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS | SHARES SUBSCRIBED | | | | AMOUNT PAID (PhP) | TAX IDENTIFICATION NUMBER |
|--|-------------------|------------------|---------------------|----------------|----------------------|---------------------------|
| | TYPE | NUMBER | AMOUNT (PhP) | % OF OWNERSHIP | | |
| 15. ALEXANDER JO TANCHAN FILIPINO 4/F LEONISA BUILDING, ESCARIO STREET, CEBU CITY 6000 | COMMON | 19,879 | 19,879.00 | 0.04% | 19,879.00 | 102-585-701 |
| | | | | | | |
| | TOTAL | 19,879 | 19,879 | | | |
| 16. MELYNGO LIM FILIPINO C/O PANAY OIL MFG. CORP., QUIMPO ST, KALIBO, AKLAN | COMMON | 18,933 | 18,933.00 | 0.04% | 18,933.00 | 121-804-900 |
| | | | | | | |
| | TOTAL | 18,933 | 18,933 | | | |
| 17. JAN CED FILIPINO C/O HONDA CARS, GEN SANTOS J. CATOLICO ST., GEN SANTOS CITY | COMMON | 18,933 | 18,933.00 | 0.04% | 18,933.00 | 108-971-723 |
| | | | | | | |
| | TOTAL | 18,933 | 18,933.00 | | | |
| 18. MARIA GEORGINA VERA PEREZ FILIPINO 2288 MAGNOLIA ST., DASMARINAS VILLAGE, MAKATI CITY | COMMON | 18,933 | 18,933.00 | 0.04% | 18,933.00 | 624-015-499 |
| | | | | | | |
| | TOTAL | 18,933 | 18,933.00 | | | |
| 19. PATRICK YAP TONG FILIPINO 420 URBIZTONDO STREET, BINONDO MANILA | COMMON | 18,933 | 18,933.00 | 0.04% | 18,933.00 | 100-063-188 |
| | | | | | | |
| | TOTAL | 18,933 | 18,933.00 | | | |
| 20. MARCIANO S. BACALLA JR. FILIPINO 2603-D EAST TEKTITE TOWER, ORTIGAS CENTER, PASIG CITY | COMMON | 16,409 | 16,409.00 | 0.03% | 16,409.00 | |
| | | | | | | |
| | TOTAL | 16,409 | 16,409.00 | | | |
| 21. OTHERS | COMMON | 5,319,727 | 5,319,727.00 | 10.60% | 5,319,727.00 | |
| | | | | | | |
| | TOTAL | 5,319,727 | 5,319,727.00 | | | |
| TOTAL AMOUNT OF SUBSCRIBED CAPITAL | | | 5,431,747.00 | 10.83% | 5,431,747.00 | |
| TOTAL AMOUNT OF PAID-UP CAPITAL | | | | | 50,167,397.00 | |

** Percentage of ownership is based on the No. of Outstanding Shares (less 55,105,000 Treasury Shares) in the amount of 50,167,397

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: FILIPINO FUND, INC.

| 1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION | AMOUNT (PhP) | DATE OF BOARD RESOLUTION |
|---|----------------|--------------------------|
| 1.1 STOCKS | 174,417,095.00 | N/A |
| 1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations) | N/A | N/A |
| 1.3 LOANS/ CREDITS/ ADVANCES | N/A | N/A |
| 1.4 GOVERNMENT TREASURY BILLS | N/A | N/A |
| 1.5 OTHERS | 6,820,650.00 | N/A |

| 2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:) | DATE OF BOARD RESOLUTION | DATE OF STOCKHOLDERS RATIFICATION |
|---|--------------------------|-----------------------------------|
| N/A | N/A | N/A |

| 3. TREASURY SHARES | NO. OF SHARES | % AS TO THE TOTAL NO. OF SHARES ISSUED |
|--------------------|---------------|--|
| | 55,105,000 | 29.91% |

4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR **Php50,620,177.00**

5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR: **NONE**

| TYPE OF DIVIDEND | AMOUNT (PhP) | DATE DECLARED |
|------------------|--------------|---------------|
| 5.1 CASH | N/A | N/A |
| 5.2 STOCK | N/A | N/A |
| 5.3 PROPERTY | N/A | N/A |
| TOTAL | P | |

6. ADDITIONAL SHARES ISSUED DURING THE PERIOD: **NONE**

| DATE | NO. OF SHARES | AMOUNT |
|------|---------------|--------|
| N/A | N/A | N/A |
| | | |
| | | |

SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:

| NAME OF AGENCY: | SEC | BSP | IC |
|--------------------------|-----|-----|-----|
| TYPE OF LICENSE/REGN. | N/A | N/A | N/A |
| DATE ISSUED: | N/A | N/A | N/A |
| DATE STARTED OPERATIONS: | N/A | N/A | N/A |

| TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP) | TOTAL NO. OF OFFICERS | TOTAL NO. OF RANK & FILE EMPLOYEES | TOTAL MANPOWER COMPLEMENT |
|--|-----------------------|------------------------------------|---------------------------|
| 833,333.00 | 4 | N.A. | 4 |

NOTE: USE ADDITIONAL SHEET IF NECESSARY

I, **ATTY. VINCENT E. TOMANENG**, Corporate Secretary of **FILIPINO FUND, INC.**, declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (Section 177, RA No. 11232).

Done this 28th day of May, 2021 in Cebu City.



ATTY. VINCENT E. TOMANENG
(Signature over printed name)

CEBU CITY

SUBSCRIBED AND SWORN TO before me in _____ on MAY 28 2021 by affiant who personally appeared before me and exhibited to me his/her competent evidence of identity consisting of Passport P6261118A valid until March 1, 2028, DFA Cebu

NOTARY PUBLIC

Doc. No. 98;
Page No. 21;
Book No. 5;
Series of 2021.


ATTY. ARJAM B. BONIFACIO, CPA
Roll of Attorney No. 70585
Notary Public for and in the Cebu City
Commission No. 77-2018 valid until 31 December 2021
PTR No. 2437070; 11 January 2021; Cebu City
IRP AR No. 62184157; 11 January 2021; IBP Cebu Chapter
MCLE Compliance No. VI-0014271 valid until 14 April 2022
6F CFI Bldg., Cebu Province Capitol Cmpd., Capitol Site,
Cebu City/arjbon@gmail.Com

BENEFICIAL OWNERSHIP DECLARATION

FOR THE YEAR: 2021

SEC REGISTRATION NUMBER:

AS091190385

CORPORATE NAME:

FILIPINO FUND, INC.

Instructions:

1. Identify the Beneficial Owner/s of the corporation as described in the Categories of Beneficial Ownership in Items A to I below. List down as many as you can identify. You may use an additional sheet if necessary.
2. Fill in the required information on the beneficial owner in the fields provided for.
3. In the "Category of Beneficial Ownership" column, indicate the letter(s) corresponding thereto. In the event that the person identified as beneficial owner falls under several categories, indicate all the letters corresponding to such categories.
4. If the category is under letter "I", indicate the position held (i.e., Director/Trustee, President, Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, etc.).
5. Do not leave any item blank. Write "N/A" if the information required is not applicable or "NONE" if non-existent.

"Beneficial Owner" refers to any natural person(s) who ultimately own(s) or control(s) or exercise(s) ultimate effective control over the corporation. This definition covers the natural person(s) who actually own or control the corporation as distinguished from the legal owners. Such beneficial ownership may be determined on the basis of the following:

| Category | Description |
|----------|---|
| A | Natural person(s) owning, directly or indirectly or through a chain of ownership, at least twenty-five percent (25%) of the voting rights, voting shares or capital of the reporting corporation. |
| B | Natural person(s) who exercise control over the reporting corporation, alone or together with others, through any contract, understanding, relationship, intermediary or tiered entity. |
| C | Natural person(s) having the ability to elect a majority of the board of directors/trustees, or any similar body, of the corporation. |
| D | Natural person(s) having the ability to exert a dominant influence over the management or policies of the corporation. |
| E | Natural person(s) whose directions, instructions, or wishes in conducting the affairs of the corporation are carried out by majority of the members of the board of directors of such corporation who are accustomed or under an obligation to act in accordance with such person's directions, instructions or wishes. |
| F | Natural person(s) acting as stewards of the properties of corporations, where such properties are under the care or administration of said natural person(s). |
| G | Natural person(s) who actually own or control the reporting corporation through nominee shareholders or nominee directors acting for or on behalf of such natural persons. |
| H | Natural person(s) ultimately owning or controlling or exercising ultimate effective control over the corporation through other means not falling under any of the foregoing categories. |
| I | Natural person(s) exercising control through positions held within a corporation (i.e., responsible for strategic decisions that fundamentally affect the business practices or general direction of the corporation such as the members of the board of directors or trustees or similar body within the corporation; or exercising executive control over the daily or regular affairs of the corporation through a senior management position). This category is only applicable in exceptional cases where no natural person is identifiable who ultimately owns or exerts control over the corporation, the reporting corporation having exhausted all reasonable means of identification and provided there are no grounds for suspicion. |

| COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension (i.e., Jr., Sr., III)) | SPECIFIC RESIDENTIAL ADDRESS | NATIONALITY | DATE OF BIRTH | TAX IDENTIFICATION NO. | % OF OWNERSHIP ¹ / % OF VOTING RIGHTS ² | TYPE OF BENEFICIAL OWNER ³ Direct (D) or Indirect (I) | CATEGORY OF BENEFICIAL OWNERSHIP |
|---|--|---------------------------------|---------------|------------------------|--|---|----------------------------------|
| FRANK S. GAISANO | NO. 90 WOOLBRIGHT DRIVE, BEVERLY HILLS SUBDIVISION, LAHUG, CEBU CITY | FILIPINO | 10/13/1957 | 150-044-507 | 25% of VDC | I | B |
| MARGARET G. ANG | LIBERTAD ST., SUDLON, MAGUIKAY, MANDAUE CITY | FILIPINO | 03/20/1952 | 150-044-477 | 25% of VDC | I | B |
| JACK S. GAISANO | NO. 90 WOOLBRIGHT DRIVE, BEVERLY HILLS SUBDIVISION, LAHUG, CEBU CITY | FILIPINO | 10/02/1953 | 150-044-515 | 25% of VDC | I | B |
| EDWARD S. GAISANO | NO. 90 WOOLBRIGHT DRIVE, BEVERLY HILLS SUBDIVISION, LAHUG, CEBU CITY | FILIPINO | 06/04/1955 | 150-044-494 | 25% of VDC | I | B |
| PCD NOMINEE CORPORATION | 37/F TOWER 1, THE ENTERPRSE CENTER, 6766 AYALA AVENUE, MAKATI CITY | FILIPINO/NON FILIPINO (VARIOUS) | VARIOUS | 004-710-690 | 28.99% | I | G |

Note: This page is not for uploading on the SEC IView.

¹ For Stock Corporations.

² For Non-Stock Corporations.

³ For Stock Corporations.