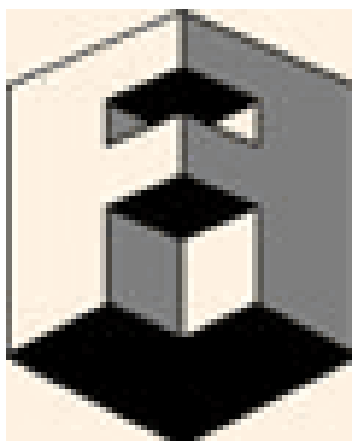


The Exchange does not warrant and holds no responsibility for the veracity of the facts and representations contained in all corporate disclosures, including financial reports. All data contained herein are prepared and submitted by the disclosing party to the Exchange, and are disseminated solely for purposes of information. Any questions on the data contained herein should be addressed directly to the Corporate Information Officer of the disclosing party.



**Filipino Fund, Inc.**  
**FFI**

**PSE Disclosure Form 17-12-A - List of Top 100 Stockholders (Common Shares)**  
**Reference: Section 17.12 of the Revised Disclosure Rules**

**Type of Securities**

Common

For the period ended Mar 31, 2021

**Description of the Disclosure**

LIST OF TOP 100 STOCKHOLDERS OF FILIPINO FUND, INC.

<b>Number of Issued and Outstanding Common Shares</b>	105,272,397
<b>Number of Treasury Common Shares, if any</b>	55,105,000
<b>Number of Outstanding Common Shares</b>	50,167,397
<b>Number of Listed Common Shares</b>	105,272,397
<b>Number of Lodged Common Shares</b>	44,291,904
<b>PCD Nominee - Filipino</b>	44,074,170
<b>PCD Nominee - Non-Filipino</b>	217,734
<b>Number of Certificated Common Shares</b>	60,980,493

**Change from previous submission**

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Stock Transfer Service Inc.  
 FILIPINO FUND, INC.  
 List of Top 100 Stockholders  
 As of 03/31/2021

Rank	Name	Holdings	Rank
1	FILIPINO FUND, INC. TREASURY SHARES	55,105,000	52.35%
2	PCD NOMINEE CORPORATION (FILIPINO)	44,074,170	41.87%
3	PCD NOMINEE CORPORATION (NON-FILIPINO)	217,734	00.21%
4	ALLEN C. ROXAS	94,667	00.09%
5	ALBERT GO ONG	90,250	00.09%
6	REYMONT INC.	34,711	00.03%
7	SEGUNDO SEANGIO AND/OR VIRGINIA SEANGIO	32,818	00.03%
8	KA SIONG TIU	31,555	00.03%
9	VICTOR HERNANDEZ BOCALING	31,555	00.03%
10	ROMEO TAN PE	25,244	00.02%
11	EMMANUEL HERNANDEZ BOCALING	25,244	00.02%
12	INTERNATIONAL POLYMER CORP.	22,089	00.02%
13	ANTONIO SUNTAY TANJANGCO	20,826	00.02%
14	WILLINGTON W. CHUA	20,524	00.02%
15	NORBERTO VALDIVIA SAUCO	20,195	00.02%
16	ALEXANDER JO TANCHAN	19,879	00.02%
17	JAN CED	18,933	00.02%
18	PATRICK YAP TONG	18,933	00.02%
19	MA. GEORGINA VERA PEREZ	18,933	00.02%
20	MELY NGO LIM	18,933	00.02%
21	MARCIANO S. BACALLA JR	16,409	00.02%
22	ARTHUR CANG UY	15,777	00.01%
23	ARTURO TAO UY	15,777	00.01%
24	FAST CARGO TRANSPORT CORP.	15,000	00.01%
25	AURORA LEE ONG	13,253	00.01%
26	R & L INVESTMENTS, INC.	13,253	00.01%

Stock Transfer Service Inc.  
 FILIPINO FUND, INC.  
 List of Top 100 Stockholders  
 As of 03/31/2021

Rank	Name	Holdings	Rank
27	ROBERTO SY CO SAN	12,622	00.01%
28	SOUTHEAST ASIAN SCIENCE FOUNDATION / CRC	12,622	00.01%
29	MA. GEORGINA PEREZ DE VENECIA	12,622	00.01%
30	KOK SEE KIM YU	12,622	00.01%
31	BENITO S. QUE	12,622	00.01%
32	BPI T/A#14-0166-35	12,622	00.01%
33	FCX INTERNATIONAL	12,622	00.01%
34	FCX INTERNATIONAL, INC.	12,622	00.01%
35	FELIPE L. GOZON AND/OR TERESA M. GOZON	12,622	00.01%
36	GINA GO LIM	12,622	00.01%
37	VICTORIO LAO ONG	12,622	00.01%
38	VIBAL PUBLISHING HOUSE INC.	12,622	00.01%
39	RAUL ABIGANIA ALON	11,991	00.01%
40	JAIME AUGUSTO MIRANDA ZOBEL DE AYALA	11,675	00.01%
41	RAYMOND UYTENGU KOKSENG	11,589	00.01%
42	JOSE TAN CHIONG	11,360	00.01%
43	GEORGE L. YUQUICO	11,360	00.01%
44	EBC SECURITIES CORPORATION	11,360	00.01%
45	SSS	10,033	00.01%
46	TEODORO G. SALUD	9,466	00.01%
47	TUANHIN TAN CHIONG	9,466	00.01%
48	PHILIP SO LIM	9,466	00.01%
49	NARCISO COROZA MONTEIRO	9,466	00.01%
50	MARIANO KU ONG	9,466	00.01%
51	CORABELLE LIM SY	9,466	00.01%
52	CALLANO G. PAZ	9,466	00.01%

Stock Transfer Service Inc.  
FILIPINO FUND, INC.  
List of Top 100 Stockholders  
As of 03/31/2021

Page No. 3

Rank	Name	Holdings	Rank
53	JESSIE ERMUND TAN DY	9,466	00.01%
54	WILLIAM TAN CHENG	9,466	00.01%
55	YU LING ONG	9,466	00.01%
56	JAMES Y. DY	8,835	00.01%
57	ARTHUR TAN SIA	8,835	00.01%
58	ALLAN DANCEL RIVERA	8,835	00.01%
59	MARINO OLONDRIZ Y CIA	8,835	00.01%
60	ROSALINDA ZERNA	8,835	00.01%
61	MIMI MENDOZA JORGE	8,204	00.01%
62	AMBROSIO DE LAS ALAS PADILLA JR	8,204	00.01%
63	CONNELL SECURITIES, INC.	6,942	00.01%
64	SALVADOR PINEDA PABALAN	6,942	00.01%
65	MARIA S. ANG	6,942	00.01%
66	JOSE P. TAN	6,942	00.01%
67	LEODEGARIA GAMIL MARCOS	6,942	00.01%
68	NARCISO C. MONTEIRO	6,626	00.01%
69	FELIPE A. ENAGE	6,626	00.01%
70	LEONARDO STA. ANA CANSANA	6,437	00.01%
71	LIPPO SEC., INC.	6,311	00.01%
72	LOLITA MORENO	6,311	00.01%
73	LAFAYETTE ALVAREZ LIM	6,311	00.01%
74	JUAN T. LIM	6,311	00.01%
75	JUANITO C. CASTANEDA JR.	6,311	00.01%
76	JOSELITO P. VALENCIA	6,311	00.01%
77	JOSEPHINE ROBREDO BUNDOC	6,311	00.01%
78	MANUEL R. BERNARDO	6,311	00.01%

Stock Transfer Service Inc.  
FILIPINO FUND, INC.  
List of Top 100 Stockholders  
As of 03/31/2021

Page No. 4

Rank	Name	Holdings	Rank
79	MA. CYNTHIA SALDUA FERNANDEZ-TAN	6,311	00.01%
80	MERCEDITA S. NOLLEDO	6,311	00.01%
81	MAYO HOLDINGS, INC.	6,311	00.01%
82	PASSI PLANTATION, INC.	6,311	00.01%
83	NIKKI M. L. COSETENG	6,311	00.01%
84	PETER DY YOUNG	6,311	00.01%
85	PETER UY ONG	6,311	00.01%
86	SALLY ONG C. PAC	6,311	00.01%
87	SOLITA DIAZ TAN-GATUE	6,311	00.01%
88	THERESA SUN	6,311	00.01%
89	SYDNEY SHERIDAN S. TACUBOY	6,311	00.01%
90	RODULFO B SEVILLA	6,311	00.01%
91	ROMEO TANKIANG	6,311	00.01%
92	FELICISIMO PICACHE ENRIQUEZ JR.	6,311	00.01%
93	FE S.D. AQUINO AND/OR BONIFACIA E. STO DOMINGO	6,311	00.01%
94	EVELYN LAO ONG	6,311	00.01%
95	EMMANUEL H. BOCALING	6,311	00.01%
96	EDGARDO T. CAPARAS AND/OR CORINNA L. CAPARAS	6,311	00.01%
97	EDUARDO CAPULONG HALILI	6,311	00.01%
98	ELI LEVIN	6,311	00.01%
99	IVY MICHELLE ALVAREZ LIM	6,311	00.01%
100	IVAN SPENCER ALVAREZ LIM	6,311	00.01%

Stock Transfer Service Inc.  
FILIPINO FUND, INC.  
List of Top 100 Stockholders  
As of 03/31/2021

Rank	Name	Holdings	Rank
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Total Top 100 Shareholders :	----- 100,661,466	----- 95.61%
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Total Issued Shares	----- 105,272,397
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## OUTSTANDING BALANCES FOR A SPECIFIC COMPANY

Company Code - FFI000000000

Business Date: March 31, 2021

BPNAME	HOLDINGS
UPCC SECURITIES CORP.	2,787
A & A SECURITIES, INC.	29,972
ABACUS SECURITIES CORPORATION	84,418
PHILSTOCKS FINANCIAL INC	169,739
A. T. DE CASTRO SECURITIES CORP.	3,486
ALPHA SECURITIES CORP.	3,723
BA SECURITIES, INC.	15,131
AP SECURITIES INCORPORATED	49,860
ANSALDO, GODINEZ & CO., INC.	29,019
AB CAPITAL SECURITIES, INC.	35,743,893
SB EQUITIES, INC.	24,402
ASIA PACIFIC CAPITAL EQUITIES & SECURITIES CORP.	2,347
ASIASEC EQUITIES, INC.	22,720
CHINA BANK SECURITIES CORPORATION	1,877
BELSON SECURITIES, INC.	14,450
BENJAMIN CO CA & CO., INC.	5,590
B. H. CHUA SECURITIES CORPORATION	8,835
JAKA SECURITIES CORP.	10,543
BPI SECURITIES CORPORATION	173,606
CAMPOS, LANUZA & COMPANY, INC.	14,657
SINCERE SECURITIES CORPORATION	653
CENTURY SECURITIES CORPORATION	631
CTS GLOBAL EQUITY GROUP, INC.	64,943
TRITON SECURITIES CORP.	32,327
IGC SECURITIES INC.	5,299
CUALOPING SECURITIES CORPORATION	11,465
DAVID GO SECURITIES CORP.	5,576
DIVERSIFIED SECURITIES, INC.	32,347
E. CHUA CHIACO SECURITIES, INC.	24,578
EQUITABLE SECURIITES (PHILS.) INC.	6,529
EAST WEST CAPITAL CORPORATION	48
EASTERN SECURITIES DEVELOPMENT CORPORATION	40,676
EQUITIWORLD SECURITIES, INC.	3,098
EVERGREEN STOCK BROKERAGE & SEC., INC.	70,188
FIRST ORIENT SECURITIES, INC.	2,957
FIRST INTEGRATED CAPITAL SECURITIES, INC.	105
F. YAP SECURITIES, INC.	5,922
AURORA SECURITIES, INC.	3,155
GLOBALINKS SECURITIES & STOCKS, INC.	95,790
JSG SECURITIES, INC.	4,051
GOLDSTAR SECURITIES, INC.	13,896
GUILD SECURITIES, INC.	5,063
HDI SECURITIES, INC.	13,184
H. E. BENNETT SECURITIES, INC.	3,155
HK SECURITIES, INC.	1,518
I. ACKERMAN & CO., INC.	22,576
I. B. GIMENEZ SECURITIES, INC.	9,865
INVESTORS SECURITIES, INC,	4,919
IMPERIAL, DE GUZMAN, ABALOS & CO., INC.	4,230
INTRA-INVEST SECURITIES, INC.	16,598
ASIAN CAPITAL EQUITIES, INC.	3,281

BPNAME	HOLDINGS
J.M. BARCELON & CO., INC.	2,762
STRATEGIC EQUITIES CORP.	60,860
LARRGO SECURITIES CO., INC.	65
LOPEZ, LOCSIN, LEDESMA & CO., INC.	4,544
LUCKY SECURITIES, INC.	7,720
LUYS SECURITIES COMPANY, INC.	5,595
MANDARIN SECURITIES CORPORATION	15,135
COL Financial Group, Inc.	198,625
DA MARKET SECURITIES, INC.	315
MERCANTILE SECURITIES CORP.	5,973
MERIDIAN SECURITIES, INC.	3,976
MDR SECURITIES, INC.	12,433
REGIS PARTNERS, INC.	30,630
MOUNT PEAK SECURITIES, INC.	13,292
NEW WORLD SECURITIES CO., INC.	1,558
OPTIMUM SECURITIES CORPORATION	6,597
RCBC SECURITIES, INC.	9,792
PAN ASIA SECURITIES CORP.	2,493
PAPA SECURITIES CORPORATION	19,614
MAYBANK ATR KIM ENG SECURITIES, INC.	52,061
PNB SECURITIES, INC.	138,155
PREMIUM SECURITIES, INC.	10,518
SALISBURY BKT SECURITIES CORPORATION	2,230
QUALITY INVESTMENTS & SECURITIES CORPORATION	73,335
R & L INVESTMENTS, INC.	8,597
R. COYIUTO SECURITIES, INC.	17,112
REGINA CAPITAL DEVELOPMENT CORPORATION	62,288
R. NUBLA SECURITIES, INC.	12,022
AAA SOUTHEAST EQUITIES, INCORPORATED	2,547
R. S. LIM & CO., INC.	10,306
RTG & COMPANY, INC.	22,913
S.J. ROXAS & CO., INC.	2,990
FIDELITY SECURITIES, INC.	36,235
SUMMIT SECURITIES, INC.	17,669
STANDARD SECURITIES CORPORATION	8,518
SUPREME STOCKBROKERS, INC	441
TANSENGCO & CO., INC.	42,114
THE FIRST RESOURCES MANAGEMENT & SECURITIES CORP.	11,558
TOWER SECURITIES, INC.	2,345,289
TRANS-ASIA SECURITIES, INC.	631
TRENDLINE SECURITIES CORPORATION	6,090
UCPB SECURITIES, INC.	14,020
E.SECURITIES, INC.	631
VENTURE SECURITIES, INC.	17,756
VICSAL SECURITIES & STOCK BROKERAGE, INC.	63
FIRST METRO SECURITIES BROKERAGE CORP.	27,058
WEALTH SECURITIES, INC.	116,129
WESTLINK GLOBAL EQUITIES, INC.	17,570
BERNAD SECURITIES, INC.	3,155
WONG SECURITIES CORPORATION	1,262
YAO & ZIALCITA, INC.	3,870
YU & COMPANY, INC.	13,993
BDO SECURITIES CORPORATION	21,725
EAGLE EQUITIES, INC.	4,794
GOLDEN TOWER SECURITIES & HOLDINGS, INC.	22,154
SOLAR SECURITIES, INC.	15,517
G.D. TAN & COMPANY, INC.	111,835
PHILIPPINE EQUITY PARTNERS, INC.	40,117



BPNAME	HOLDINGS
UNICAPITAL SECURITIES INC.	21,979
ARMSTRONG SECURITIES, INC.	17,229
TIMSON SECURITIES, INC.	631
CHINA BANKING CORPORATION - TRUST GROUP	16,555
CITIBANK N.A.	3,540,436
DEUTSCHE BANK MANILA-CLIENTS A/C	56,885
FILIPINO FUND, INC.	1,264
<b>Total</b>	<b>44,291,904</b>

If no written notice of any error or correction is received by PDTC within five (5) calendar days from receipt hereof, you shall be deemed to have accepted the accuracy and completeness of the details indicated in this report.