

# COVER SHEET

A S 0 9 1 1 9 0 3 8 5

SEC Registration Number

F I L I P I N O F U N D , I N C .

(Company's Full Name)

U N I T S 1 9 1 1 1 9 1 2 , 1 9 T H F L O O R  
 P S E T O W E R , 5 T H A V E N U E C O R N E R 2 8 T H  
 S T R E E T , B O N I F A C I O G L O B A L C I T Y ,  
 T A G U I G C I T Y , M E T R O M A N I L A , P H I L S .

(Business Address: No. Street City/Town/Province)

JONNA C. LLAGUNO

(Contact Person)

(632) 8898 7522/ 8898 7578

(Company Telephone Number)

1 2

3 1

Month Day  
(Fiscal Year)

GIS

(Form Type)

Month Day  
(Annual Meeting)

(Secondary License Type, If Applicable)

CFD

Dept. Requiring this Doc.

Amended Articles Number/Section

Total No. of Stockholders

Domestic

Foreign

-----  
To be accomplished by SEC Personnel concerned

File Number

LCU

Document ID

Cashier

S T A M P S

Remarks: Please use BLACK ink for scanning purposes.

**GENERAL INFORMATION SHEET  
FOR THE YEAR 2020  
STOCK CORPORATION**

**GENERAL INSTRUCTION:**

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. **DO NOT LEAVE ANY ITEM BLANK.** WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE ACTUAL DATE OF THE ANNUAL STOCKHOLDERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT ONLY THE AFFECTED PAGE OF THE GIS THAT RELATES TO THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED BY THE CORPORATE SECRETARY OF THE CORPORATION. THE PAGE OF THE GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURRED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE CENTRAL RECEIVING SECTION, GROUND FLOOR, SEC BLDG., EDSA, MANDALUYONG CITY. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER WITH A STANDARD COVER PAGE. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE. CORPORATIONS SUBMITTING A COPY OF THEIR GIS ONLINE OR VIA INTERNET SHALL SUBMIT ONE (1) HARD COPY OF THE GIS, TOGETHER WITH A CERTIFICATION UNDER OATH BY ITS CORPORATE SECRETARY THAT THE COPY SUBMITTED ONLINE CONTAINS THE EXACT DATA IN THE HARD COPY.
6. **ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS.

=====PLEASE PRINT LEGIBLY=====

CORPORATE NAME: <b>FILIPINO FUND, INC.</b>		DATE REGISTERED: <b>28 March 1995</b>
BUSINESS/TRADE NAME: <b>FILIPINO FUND, INC.</b>		
SEC REGISTRATION NUMBER: <b>AS091190385</b>		FISCAL YEAR END: <b>December 31</b>
DATE OF ANNUAL MEETING PER BY-LAWS: <b>Every 2<sup>nd</sup> Tuesday of May</b>		CORPORATE TAX IDENTIFICATION NUMBER (TIN) <b>002-284-545-000</b>
ACTUAL DATE OF ANNUAL MEETING: <b>30 Sept 2020</b>		WEBSITE/URL ADDRESS: <b>www.filipinofund.com.ph</b>
COMPLETE PRINCIPAL OFFICE ADDRESS: <b>Units 1911-1912, 19th Floor, PSE Tower, 5th Avenue corner 28th Street, Bonifacio Global City, Taguig City, Metro Manila, Philippines</b>		E-MAIL ADDRESS: <b>www.filipinofund.com.ph</b>
COMPLETE BUSINESS ADDRESS: <b>Units 1911-1912, 19th Floor, PSE Tower, 5th Avenue corner 28th Street, Bonifacio Global City, Taguig City, Metro Manila, Philippines</b>		FAX NUMBER: <b>8898-7597</b>
NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: <b>Sycip GorresVelayo &amp; Co. / Janet A. Paraiso, Partner</b>	SEC ACCREDITATION NUMBER (if applicable) <b>0778-AR-2</b>	TELEPHONE NUMBER(S): <b>8898-7522</b>
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGE IN: <b>Close-end Investment Company</b>	INDUSTRY CLASSIFICATION: <b>Listed Company</b>	GEOGRAPHICAL CODE:

=====INTERCOMPANY AFFILIATIONS=====

PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
<b>Vicsal Development Corporation</b>	<b>99589</b>	<b>Vicsal Building, Corner of C.D. Seno and W.D Seno Sts., Guizo, North Reclamation Area, Mandaue City, Cebu</b>
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS
<b>N.A.</b>	<b>N.A.</b>	<b>N.A.</b>

NOTE : USE ADDITIONAL SHEET IF NECESSARY.



## GENERAL INFORMATION SHEET (STOCK CORPORATION)

***** PLEASE PRINT LEGIBLY *****	
CORPORATE NAME: <b>FILIPINO FUND, INC.</b>	
A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts 9150/9164/10167/10365) Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
Please check the appropriate box:	
<p>1. <input type="checkbox"/> a. Banks</p> <p><input type="checkbox"/> b. Offshore Banking Units</p> <p><input type="checkbox"/> c. Quasi-Banks</p> <p><input type="checkbox"/> d. Trust Estates</p> <p><input type="checkbox"/> e. Non-Stock Savings and Loan Associations</p> <p><input type="checkbox"/> f. Pawnshops</p> <p><input type="checkbox"/> g. Foreign Exchange Dealers</p> <p><input type="checkbox"/> h. Money Changers</p> <p><input type="checkbox"/> i. Remittance Agents</p> <p><input type="checkbox"/> j. Electronic Money Issuers</p> <p><input type="checkbox"/> k. Financial Institutions which under Special Laws are subject to Special ng Pilipinas (SPP) supervision and/or regulation, including their subsidiaries and affiliates.</p>	<p>4. <input type="checkbox"/> Jewelry dealers in precious metals, who, as a business, trade in precious metals.</p> <hr/> <p>5. <input type="checkbox"/> Jewelry dealers in precious stones, who, as a business, trade in precious stone</p> <hr/> <p>6. Company service providers which, as a business, provide any of the following services to third parties:</p> <p><input type="checkbox"/> a. acting as a formation agent of juridical persons</p> <p><input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons</p> <p><input type="checkbox"/> c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement</p> <p><input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person</p> <p>7. Persons who provide any of the following services:</p> <p><input type="checkbox"/> a. managing of client money, securities or other assets</p> <p><input type="checkbox"/> b. management of bank, savings or securities accounts</p> <p><input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies</p> <p><input type="checkbox"/> d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities</p> <p><input type="checkbox"/> e. <input type="checkbox"/> None of the above</p>
<p>2. <input type="checkbox"/> a. Insurance Companies</p> <p><input type="checkbox"/> b. Insurance Agents</p> <p><input type="checkbox"/> c. Insurance Brokers</p> <p><input type="checkbox"/> d. Professional Reinsurers</p> <p><input type="checkbox"/> e. Reinsurance Brokers</p> <p><input type="checkbox"/> f. Holding Companies</p> <p><input type="checkbox"/> g. Holding Company Systems</p> <p><input type="checkbox"/> h. Pre-press Companies</p> <p><input type="checkbox"/> i. Mutual Benefit Association</p> <p><input type="checkbox"/> j. All Other Persons and entities supervised and/or regulate by the Insurance Commission (IC)</p>	<p>Describe nature of business: <b>Close-end Investment Company</b></p>
<p>3. <input type="checkbox"/> a. Securities Dealers</p> <p><input type="checkbox"/> b. Securities Brokers</p> <p><input type="checkbox"/> c. Securities Salesman</p> <p><input type="checkbox"/> d. Investment Houses</p> <p><input type="checkbox"/> e. Investment Agencies and Consultants</p> <p><input type="checkbox"/> f. Trading Advisors</p> <p><input type="checkbox"/> g. Other entities managing Securities or rendering similar services</p> <p style="margin-left: 20px;"><input type="checkbox"/> h. Mutual Funds or Open-end Investment Companies</p> <p style="margin-left: 20px;"><input checked="" type="checkbox"/> i. Close-end Investment Companies</p> <p><input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities</p> <p><input type="checkbox"/> k. Transfer Companies and other similar entities</p> <p><input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on</p> <p><input type="checkbox"/> m. Entities administering or otherwise dealing in valuable objects</p> <p><input type="checkbox"/> n. Entities administering or otherwise dealing in cash substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)</p>	
B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	



## GENERAL INFORMATION SHEET STOCK CORPORATION

=====PLEASE PRINT LEGIBLY=====

CORPORATE NAME:

**FILIPINO FUND, INC.**

CAPITAL STRUCTURE

**AUTHORIZED CAPITAL STOCK**

	TYPE OF SHARES*	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares x Par/Stated Value)
	<b>Common</b>	<b>184,234,038</b>	<b>PhP1.00</b>	<b>PhP184,234,038</b>
<b>TOTAL</b>		<b>184,234,038</b>	<b>TOTAL P</b>	<b>PhP184,234,038</b>

**SUBSCRIBED CAPITAL**

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES*	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC**	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNER-SHIP
	5,333	Common	49,874,769		1.00	49,874,769	99.4167%
<b>TOTAL</b>			<b>49,874,769</b>		<b>TOTAL P</b>	<b>49,874,769</b>	<b>99.4167%</b>

FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES*	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC**	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNER-SHIP
<b>CHINESE</b>	38	Common	52,090		1.00	52,090	.1038%
<b>AMERICAN</b>	14	Common	13,461		1.00	13,461	.0268%
<b>BRITISH</b>	2	Common	1,577		1.00	1,577	.0031%
<b>JAPANESE</b>	1	Common	946		1.00	946	.0019%
<b>SPANISH</b>	1	Common	757		1.00	757	.0015%
<b>CANADIAN</b>	2	Common	662		1.00	662	.0013%
<b>INDIAN</b>	1	Common	631		1.00	631	.0013%
<b>AUSTRALIAN</b>	1	Common	420		1.00	420	.0008%
<b>NEW ZEALAND</b>	1	Common	210		1.00	210	.0004%
<b>OTHERS</b>	3	Common	221,874		1.00	221,874	.4423%
Percentage of Foreign Equity: TOTAL			<b>292,628</b>		<b>TOTAL P</b>	<b>292,628</b>	<b>.5833%</b>
<b>TOTAL SUBSCRIBED P</b>						<b>50,167,397</b>	<b>100%</b>

**PAID-UP CAPITAL**

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES*	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNER-SHIP
	5,333	Common	49,874,769	P1.00	49,874,769	99.4167%
<b>TOTAL</b>			<b>49,874,769</b>	<b>TOTAL P</b>	<b>49,874,769</b>	<b>99.4167%</b>
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES*	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNER-SHIP
<b>CHINESE</b>	38	Common	52,090	1.00	52,090.00	0.1038%
<b>AMERICAN</b>	14	Common	13,461	1.00	13,461.00	.0268%
<b>BRITISH</b>	2	Common	1,577	1.00	1,577.00	.0031%
<b>JAPANESE</b>	1	Common	946	1.00	946.00	.0019%
<b>SPANISH</b>	1	Common	757	1.00	757.00	.0015%
<b>CANADIAN</b>	2	Common	662	1.00	662.00	.0013%
<b>INDIAN</b>	1	Common	631	1.00	631.00	.0013%
<b>AUSTRALIAN</b>	1	Common	420	1.00	420.00	.0008%
<b>NEW ZEALAND</b>	1	Common	210	1.00	210.00	.0004%
<b>OTHERS</b>	3	Common	221,874	1.00	221,874	.4423%
<b>TOTAL</b>			<b>292,628</b>	<b>TOTAL P</b>	<b>292,628</b>	<b>.5833%</b>
<b>TOTAL PAID-UP P</b>					<b>50,167,397.00</b>	<b>100%</b>

NOTE: USE ADDITIONAL SHEET IF NECESSARY

\* Common, Preferred or other classification

\*\* Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

## GENERAL INFORMATION SHEET (STOCK CORPORATION)

=====PLEASE PRINT LEGIBLY=====

CORPORATE NAME:

**FILIPINO FUND, INC.**

D I R E C T O R S / O F F I C E R S

NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC COMM.	TAX IDENTIFICATION NUMBER
<b>1. MARGARET G. ANG</b> Libertad St., Sudlon, Maguikay, Mandaue City	Filipino	N	M	F	Y	Y	N/Member	150-044-485
<b>2. FRANK S. GAISANO</b> No. 90 Woolbright Drive, Beverly Hills Subd., Lahug, Cebu City	Filipino	N	M	M	Y	N.A.	N/Chairman	150-044-507
<b>3. JOHN G. TAN</b> c/o 7/F Allied Bank Center, 6754 Ayala Avenue corner Legazpi St., Makati City Metro Manila	Filipino	N	M	M	Y	Independent Director	N.A.	170-419-358
<b>4. EDWARD S. GO</b> Unit 16-A Pacific Plaza, Bonifacio Global City, Taguig City Metro Manila	Filipino	N	M	M	Y	Independent Director	A/Chairman N/Member	112-147-604
<b>5. BERNARDO M. VILLEGAS</b> c/o University of Asia and Pacific Pearl Drive, Ortigas Center, Pasig City, Metro Manila	Filipino	N	C	M	Y	Independent Director	A/Member	111-622-998
<b>6. ALJIM C. JAMANDRE</b> Nichols Park Subdivision, Nichols Heights, Guadalupe, Cebu City	Filipino	N	M	M	Y	N.A.	A/Member	102-088-902
<b>7. VINCENT E. TOMANENG</b> Garden Ridge Village, Cabanalan, Mandaue City	Filipino	N	M	M	Y	Corporate Secretary	N.A.	102-099-035
<b>8. PAUL RODULFO B. IMPERIAL</b> 11th Avenue corner 39th Street Bonifacio Triangle, Bonifacio Global City, Taguig City, Metro Manila	Filipino	N	N	M	N	Assistant Corporate Secretary	N.A.	221-552-921
<b>9. MARY JENNIFER V. MUSNI</b> 2437-A Villalon Drive, Capitol Site, Mandaue City	Filipino	N	N	F	N	Treasurer	N.A.	102-099-891

**INSTRUCTIONS:**

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER. "I" FOR INDEPENDENT DIRECTOR

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

## GENERAL INFORMATION SHEET STOCK CORPORATION

=====PLEASE PRINT LEGIBLY=====

CORPORATE NAME:

**FILIPINO FUND, INC.**

TOTAL NUMBER OF STOCKHOLDERS: **5,397**

NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: **5,374**

TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: **Php213,800,878**

### STOCKHOLDER'S INFORMATION

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED			% OF OWNERSHIP	AMOUNT PAID (Php)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (Php)			
<b>1. PCD NOMINEE CORPORATION</b> Filipino 29th Floor, BDO Equitable Tower, 8751 Paseo de Roxas, Makati, 1226 (Note: Beneficial owner of more than 10%; Vicsal Development Corp.- 35,623,404 shares, representing 71.01%)	A	44,065,312	44,065,312	87.8365%	44,065,312.00	050-004-710-690
	TOTAL	44,065,312	44,065,312			
<b>2. PCD NOMINEE CORPORATION</b> Non-Filipino 29th Floor, BDO Equitable Tower, 8751 Paseo de Roxas, Makati, 1226	A	218,467	218,467	0.4355%	218,467.00	050-004-710-690
	TOTAL	218,467	218,467			
<b>3. ALLEN C. ROXAS</b> Filipino 4F State Center Building, 333 J. Luna St., Binondo Manila	A	94,667	94,667.00	0.1887%	94,667.00	132-140-260
	TOTAL	94,667	94,667.00			
<b>4. ALBERT GO ONG</b> Filipino 33 Duhat Street, Valle Verde 1, Pasig City	A	90,250	90,250.00	0.1799%	90,250.00	141-670-038
	TOTAL	90,250	90,250.00			
<b>5. REYMONT INC.</b> Filipino 10F, Pacific Star Bldg., Gil Puyat Ave., Makati City	A	34,711	34,711.00	0.0692%	34,711.00	000-224-178
	TOTAL	34,711	34,711.00			
<b>6. SEGUNDO SEANGIO AND/OR VIRGINIA SEANGIO</b> Filipino 465a. Flora St., Ermita, Manila	A	32,818	32,818.00	0.0654%	32,818.00	SS220-G304-A-5
	TOTAL	32,818	32,818.00			
<b>7. VICTOR HERNANDEZ BOCALING</b> Filipino 30 Hercules, Bel Air II, Makati City	A	31,555	31,555.00	0.0629%	31,555.00	110-793-532
	TOTAL	31,555	31,555.00			
<b>8. KA SIONG TIU</b> Filipino 684 T. Alonzo St., Sta Cruz, Manila	A	31,555	31,555.00	0.0629%	31,555.00	101-303-358
	TOTAL	31,555	31,555.00			
TOTAL AMOUNT OF SUBSCRIBED CAPITAL			44,599,335	88.9010		
TOTAL AMOUNT OF PAID-UP CAPITAL					44,599,335	



**INSTRUCTIONS: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS.**

*Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet if necessary.*

**GENERAL INFORMATION SHEET  
STOCK CORPORATION**

-----PLEASE PRINT LEGIBLY-----

CORPORATE NAME:

**FILIPINO FUND, INC.**

TOTAL NUMBER OF STOCKHOLDERS: **5,397** | NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: **5,374**

TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: **PhP213,800,878.00 (2019 AFS)**

**STOCKHOLDER'S INFORMATION**

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED			% OF OWNERSHIP	AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)			
<b>9. EMMANUEL HERNANDEZ BOCALING</b> Filipino B-243 The Alexandra Condo. 29 Meralco Avenue, Pasig City	A	25,244	25,244.00	0.0503% /	25,244.00	128-873-332
	TOTAL	25,244	25,244.00			
<b>10. ROMEO TAN PE</b> Filipino 10 Ruby St., City Heights Subdivision, Bacolod City	A	25,244	25,244.00	0.0503% /	25,244.00	
	TOTAL	25,244	25,244.00			
<b>11. INTERNATIONAL POLYMER CORP.</b> Non-Filipino 22ND Floor, Citibank Towers 8741 Paseo de Roxas, Makati City	A	22,089	22,089.00	0.0440% /	22,089.00	000-232-426
	TOTAL	22,089	22,089.00			
<b>12. ANTONIO SUNTAY TANJANGCO</b> Filipino 542 Arquiza St., Ermita, 1000 Manila	A	20,826	20,826.00	0.0415% /	20,826.00	110-558-524
	TOTAL	20,826	20,826.00			
<b>13. WILLINGTON W. CHUA</b> Filipino Rm 307 Wellington Building, Oriente St., Binondo Manila	A	20,524	20,524.00	0.0409% /	20,524.00	104-327-176
	TOTAL	20,524	20,524.00			
<b>14. NORBERTO VALDIVIA SAUCO</b> Filipino 2654 Saygan St., Malate, Manila	A	20,195	20,195.00	0.0402% /	20,195.00	103-091-875
	TOTAL	20,195	20,195.00			
<b>15. ALEXANDER JO TANCHAN</b> Filipino 4/F Leonisa Building, Escario Street, Cebu City 6000	A	19,879	19,879.00	0.0396% /	19,879.00	102-585-701
	TOTAL	19,879	19,879.00			
<b>16. MELY NGO LIM</b> Filipino C/o Panay Oil Mfg Corp., Quimpo Street, Kalibo Aklan	A	18,933	18,933.00	0.0377% /	18,933.00	121-804-900
	TOTAL	18,933	18,933.00			
<b>17. JAN CED</b> Filipino C/o Honda Cars Gen. Santos, J. Catolico St., Gen. Santos City	A	18,933	18,933.00	0.0377% /	18,933.00	108-971-723
	TOTAL	18,933	18,933.00			
<b>18. MA. GEORGINA VERA PEREZ</b> Filipino 2288 Magnolia St., Dasmariñas Villages, Makati City	A	18,933	18,933.00	0.0377% /	18,933.00	625-015-499
	TOTAL	18,933	18,933.00			
<b>19. PATRICK YAP TONG</b> Filipino 420 Urbiztondo Street,	A	18,933	18,933.00	0.0377% /	18,933.00	100-063-188
	TOTAL	18,933	18,933.00			

Binondo Manila	TOTAL	18,933	18,933.00		
20. <b>MARCIANO S. BACALLA, JR.</b> Filipino 2603-D East Tektite, Tower Ortigas Center, Pasig City	A	16,409	16,409.00	0.0327%	16,409.00
	TOTAL	16,409	16,409.00		
21. <b>OTHERS</b>	A	5,321,920	5,321,920	10.6083%	5,321,920.00
	TOTAL	5,321,920	5,321,920		
TOTAL AMOUNT OF SUBSCRIBED CAPITAL			5,568,062	11.0990%	50,167,397.00
			TOTAL AMOUNT OF PAID-UP CAPITAL		
<b>INSTRUCTIONS: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS.</b>					
<i>Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet if necessary.</i>					

<b>GENERAL INFORMATION SHEET</b>			
<b>STOCK CORPORATION</b>			
===== PLEASE PRINT LEGIBLY =====			
<b>CORPORATE NAME:</b>			
<b>FILIPINO FUND INC.</b>			
<b>1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION</b>	<b>AMOUNT (PHP)</b>	<b>DATE OF BOARD RESOLUTION</b>	
1.1 STOCKS	116,909,441	N/A	
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)	N/A	N/A	
1.3 LOANS/CREDITS/ADVANCES	N/A	N/A	
1.4 GOVERNMENT TREASURY BILLS	N/A	N/A	
1.5 OTHERS	57,651,623	N/A	
<b>2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY):</b>	<b>DATE OF BOARD RESOLUTION</b>	<b>DATE OF STOCKHOLDERS' RATIFICATION</b>	
N/A	N/A	N/A	
<b>3. TREASURY SHARES</b>	<b>NO. OF SHARES</b>	<b>% AS TO THE TOTAL NO. OF SHARES ISSUED</b>	
	55,105,000	29.91% /	
<b>4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR: PHP61,835,185.00 /</b>			
<b>5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR: NONE</b>			
<b>TYPE OF DIVIDEND</b>	<b>AMOUNT (PHP)</b>	<b>DATE DECLARED</b>	
5.1 CASH	N/A	N/A	
5.2 STOCK	N/A	N/A	
5.3 PROPERTY	N/A	N/A	
<b>TOTAL P</b>	<b>N/A</b>	<b>N/A</b>	
<b>6. ADDITIONAL SHARES ISSUED DURING THE PERIOD: NONE</b>			
<b>DATE</b>	<b>NO. OF SHARES</b>	<b>AMOUNT</b>	
N/A	N/A	N/A	
<b>SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOVT AGENCY:</b>			
<b>NAME OF AGENCY:</b>	SEC	BSP	IC



TYPE OF LICENSE/REGN.	N/A	N/A	N/A
DATE ISSUED:	N/A	N/A	N/A
DATE STARTED OPERATIONS:	N/A	N/A	N/A
TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP) 777,778	TOTAL NO. OF OFFICERS 4	TOTAL NO. OF RANK & FILE EMPLOYEES N.A.	TOTAL MANPOWER COMPLEMENT 4
NOTE: USE ADDITIONAL SHEET IF NECESSARY			

I, **VINCENT E. TOMANENG**, Corporate Secretary of the above mentioned corporation, declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC), the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.


I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (Section 177, RA No. 11232).

Done this 26th day of October 2020 in Cebu City.

  
**VINCENT E. TOMANENG**  
 (Signature over printed name)

SUBSCRIBED AND SWORN TO before me in Cebu City on 26th October 2020 by affiant who personally appeared before me and exhibited to me her competent evidence of identity consisting of DL No. K01-84-007937 issued at the LTO Cebu City.

Doc No. 38;  
 Page No.; 68  
 Book No. 11;  
 Series of 2020.

  
**ALDREN RAYE A. AMATONG**  
 Notarial Commission No. 107-08  
 Notary Public for Cebu City  
 Until December 31, 2021  
 7th Floor, Ayala Life-FGU Center  
 Cebu Business Park, Cebu City  
 Tel. No. (032)232-2375/232-2359  
 Roll No. 54936  
 PTR No. 1546110, 1-3-2020, Cebu City  
 IBP No. 094696, 11-12-2019 (for 2020), Cebu City  
 MCLE VI-00017762, 02-13-19, Pasig City  
 TIN 263-890-092-000

## BENEFICIAL OWNERSHIP DECLARATION

**SEC REGISTRATION NUMBER** : **AS091190385**  
**CORPORATE NAME** : **FILIPINO FUND INC.**

**Instructions:**

1. Identify the Beneficial Owner/s of the corporation as described in the Categories of Beneficial Ownership in items A to I below. List down as many as you can identify. You may use an additional sheet if necessary.
2. Fill in the required information on the beneficial owner in the fields provided for.
3. In the "Category of Beneficial Ownership" column, indicate the letter(s) corresponding thereto. In the event that the person identified as beneficial owner falls under several categories, indicate all the letters corresponding to such categories.
4. If the category is under letter "I", indicate the position held (i.e., Director/Trustee, President, Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, etc.).
5. Do not leave any item blank. Write "N/A" if the information required is not applicable or "NONE" if non-existent.

"Beneficial Owner" refers to any natural person(s) who ultimately own(s) or control(s) or exercise(s) ultimate effective control over the corporation. This definition covers the natural person(s) who actually own or control the corporation as distinguished from the legal owners. Such beneficial ownership may be determined on the basis of the following:

**Category**

**Description**

- A** Natural person(s) owning, directly or indirectly or through a chain of ownership, at least twenty-five percent (25%) of the voting rights, voting shares or capital of the reporting corporation.
- B** Natural person(s) who exercise control over the reporting corporation, alone or together with others, through any contract, understanding, relationship, intermediary or tiered entity.
- C** Natural person(s) having the ability to elect a majority of the board of directors/trustees, or any similar body, of the corporation.
- D** Natural person(s) having the ability to exert a dominant influence over the management or policies of the corporation.
- E** Natural person(s) whose directions, instructions, or wishes in conducting the affairs of the corporation are carried out by majority of the members of the board of directors of such corporation who are accustomed or under an obligation to act in accordance with such person's directions, instructions or wishes.
- F** Natural person(s) acting as stewards of the properties of corporations, where such properties are under the care or administration of said natural person(s).
- G** Natural person(s) who actually own or control the reporting corporation through nominee shareholders or nominee directors acting for or on behalf of such natural persons.
- H** Natural person(s) ultimately owning or controlling or exercising ultimate effective control over the corporation through other means not falling under any of the foregoing categories.
- I** Natural person(s) exercising control through positions held within a corporation (i.e., responsible for strategic decisions that fundamentally affect the business practices or general direction of the corporation such as the members of the board of directors or trustees or similar body within the corporation; or exercising executive control over the daily or regular affairs of the corporation through a senior management position). This category is only applicable in exceptional cases where no natural person is identifiable who ultimately owns or exerts control over the corporation, the reporting corporation having exhausted all reasonable means of identification and provided there are no grounds for suspicion.

COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension (i.e., Jr., Sr., III))	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	DATE OF BIRTH	TAX IDENTIFICATION NO.	% OF OWNERSHIP <sup>1</sup> / % OF VOTING RIGHTS <sup>2</sup>	TYPE OF BENEFICIAL OWNER <sup>3</sup> Direct (D) or Indirect (I)	CATEGORY OF BENEFICIAL OWNERSHIP
FRANK S. GAISANO	NO. 90 WOOLBRIGHT DRIVE, BEVERLY HILLS SUBDIVISION, LAHUG, CEBU CITY	FILIPINO	10/13/1957	150-044-507	25% of VDC	I	B
MARGARET G. ANG	LIBERTAD ST., SUDLON, MAGUIKAY, MANDAUE CITY	FILIPINO	03/20/1952	150-044-477	25% of VDC	I	B
JACK S. GAISANO	NO. 90 WOOLBRIGHT DRIVE, BEVERLY HILLS SUBDIVISION, LAHUG, CEBU CITY	FILIPINO	10/02/1953	150-044-515	25% of VDC	I	B
EDWARD S. GAISANO	NO. 90 WOOLBRIGHT DRIVE, BEVERLY HILLS	FILIPINO	06/04/1955	150-044-494	25% of VDC	I	B

	SUBDIVISON, LAHUG, CEBU CITY						
PCD NOMINEE CORPORATION	29TH FLOOR, BDO EQUITABLE TOWER, 8751 PASEO DE ROXAS, MAKATI CITY, 1226	FILIPINO/NO N- FILIPINO(VARI OUS)	N.A.	004-774-849	28.99%	I	G

Note: This page is not for uploading on the SEC iView.

<sup>1</sup> For Stock Corporations.

<sup>2</sup> For Non-Stock Corporations.

<sup>3</sup> For Stock Corporations.